

05-03-01

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# UTILITY PATENT APPLICATION TRANSMITTAL

Our Docket No.: 457009-2

Date: May 2, 2001

First Named Inventor Lyn Rosenboom

Title: AGRICULTURE IMPLEMENT FRAME AND CART

Express Mail No.: EL661936030US

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

**APPLICATION ELEMENTS**

1. ☒ Fee Transmittal Sheet  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status
3. ☒ Specification Total Pages 28
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed. Sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
4. ☒ Drawings (35 U.S.C. 113) Total Sheets 19
5. ☒ Oath or Declaration (unsigned) Total Pages 2
  - a. ☐ Newly Executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application  
(see 37 C.F.R. 1.63(d)(2) and 1.33(b))
6. ☒ Application Data Sheet (37 C.F.R. 1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identify of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Certification Under 35 U.S.C. 122(b)(2)(B)(i)
10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 C.F.R. 3.73(b) Submission
12. ☒ Power of Attorney
13. ☐ English Translation Document (if applicable)
14. ☐ Information Disclosure Statement  
(with Copies of Citations as necessary)
15. ☐ Preliminary Amendment Total Pages
16. ☒ Return Receipt Postcard  
(Should be specifically itemized)
17. ☐ Certified Copy of Priority document(s)  
(If foreign priority is claimed)
18. ☐ Other

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:
☐ Continuation    ☐ Division    ☐ Continuation-in-part (CIP)    of prior Application No.:

For continuation or divisional applications: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference.

**CORRESPONDENCE ADDRESS**

ATTY NAME	Michael C. Gilchrist Reg. No. 40,619	SIGNATURE: <i>Michael C. Gilchrist</i>	DATE: May 2, 2001
ADDRESS	DORSEY & WHITNEY LLP 801 Grand Avenue Suite 3900 Des Moines, IA 50309		Customer Number: 25934
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Applicants: Lyn Rosenboom

Serial No.: (To Be Assigned)

Filed: Based on Provisional Patent Application No. 60/201,085 filed May 2, 2000

For: AGRICULTURAL IMPLEMENT FRAME AND CART

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

Assistant Commissioner for Patents  
BOX NEW PATENT APP  
Washington, D. C. 20231

Dear Sir:

I hereby certify that the attached Utility Patent Application, including Specification, Drawings, Utility Patent Application Transmittal, Fee Transmittal Sheet and Information Data Sheet along with our firm check in the amount of \$435.00 are being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: Box New Patent Application, Assistant Commissioner for Patents, Washington, D C. 20231.

Express Mail mailing label number EL661936030US

Date of Deposit May 2, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

Michael C. Gilchrist

Michael C. Gilchrist  
Name  
Signature

Respectfully submitted,

By Michael C. Gilchrist

Michael C. Gilchrist  
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DORSEY & WHITNEY LLP  
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801 Grand, Suite 3900  
Des Moines, Iowa 50309  
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Attorney for Applicant

# FEE TRANSMITTAL SHEET (FOR FY 2001)

Complete if Known

Application No. (to be assigned)  
 Filing Date May 2, 2001  
 First Named Inventor Lyn Rosenboom  
 Group Art Unit  
 Examiner Name  
 Atty. Docket Number 457009-2

## METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to:  
 Deposit Account No.: 04-1420  
 Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
01	710	201	355	<input checked="" type="checkbox"/> Utility Filing Fee
106	320	206	160	<input type="checkbox"/> Design Filing Fee
108	710	208	355	<input type="checkbox"/> Reissue Filing Fee
114	150	214	75	<input type="checkbox"/> Prov. Filing Fee
Subtotal (1)			\$355.00	

### 2. EXTRA CLAIM FEES

	Number Claims	Prior	Extra	Fee from Below	Fee Paid
Total	-	20	=	x	= \$
Indep.	5	- 3	= 2	x 40	= \$80.00
Multiple Dependent Claims					x = \$
Subtotal (2)					\$80.00

(Insert 3 and 20, or number previously paid if greater; Reissue see below)

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent Claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

## FEE CALCULATION (Continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - Late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For Filing a Request for Reexamination	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	280	945	Extension for reply within fifth month	
120	310	220	155	Filing a brief in support of an appeal	
121	260	270	135	Request for oral hearing	
148	110	248	55	Terminal Disclaimer Fee	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility/Reissue issue fee (inc. advance copies)	
143	440	243	220	Design issue fee (inc. advance copies)	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of IDS	
581	40	81	40	Recording each patent assignment per property (times number of properties)	
179	710	279	355	Request for Continued Examination (RCE)	

Other fee (specify)

Subtotal (3) \$0

Total Amount of Payment: \$435

## Submitted by:

Name: Michael C. Gilchrist

Reg. No.: 40,619

Telephone: (515) 288-1000

Signature:

*Michael C. Gilchrist*

Date: May 2, 2001